Review Article 18

# Free-Air Exposure Systems to Scale up Ozone Research to Mature Trees

D. F. Karnosky<sup>1</sup>, H. Werner<sup>2</sup>, T. Holopainen<sup>3</sup>, K. Percy<sup>4</sup>, T. Oksanen<sup>3</sup>, E. Oksanen<sup>3,5</sup>, C. Heerdt<sup>2</sup>, P. Fabian<sup>2</sup>, I. Nagy<sup>6</sup>, W. Heilman<sup>7</sup>, R. Cox<sup>4</sup>, N. Nelson<sup>8</sup>, and R. Matyssek<sup>9</sup>

<sup>1</sup> School of Forest Resources and Environmental Science, Michigan Technological University, 1400 Townsend Drive, Houghton, MI 49931, USA
<sup>2</sup> Department of Ecology, Ecoclimatology, Technical University of Munich, Am Hochanger 13, 85354 Freising-Weihenstephan, Germany
<sup>3</sup> Ecological Laboratory, Department of Environmental Science, University of Kuopio, 70211 Kuopio, Finland
<sup>4</sup> NRCAN Canadian Forest Service – Atlantic Forestry Centre, P.O. Box 4000, Fredericton, New Brunswick E3B 5P7, Canada
<sup>5</sup> Department of Biology, University of Joensuu, P.O. Box 111, 80101 Joensuu, Finland
<sup>6</sup> Brookhaven National Laboratory, 30 Bell Avenue, Upton 11973, New York, USA
<sup>7</sup> USDA Forest Service, 1407 S. Harrison Road, East Lansing, MI 48823, USA
<sup>8</sup> USDA Forest Service, Forestry Sciences Laboratory, 5985 Hwy. K, Rhinelander, WI 54501, USA
<sup>9</sup> Department of Ecology, Ecophysiology of Plants, Technical University of Munich, Am Hochanger 13, 85354 Freising-Weihenstephan, Germany

Received: July 5, 2006; Accepted: October 30, 2006

**Abstract:** Because seedlings and mature trees do not necessarily respond similarly to  $O_3$  stress, it is critically important that exposure systems be developed that allow exposure of seedlings through to mature trees. Here we describe three different  $O_3$  Free-Air Exposure Systems that have been used successfully for exposure at all growth stages. These systems of spatially uniform  $O_3$  release have been shown to provide reliable  $O_3$  exposure with minimal, if any, impact on the microclimate. This methodology offers a welcome alternative to chamber studies which had severe space constraints precluding stand or community-level studies and substantial chamber effects on the microclimate and, hence physiological tree performance.

**Key words:** Free-air, greenhouse gases, ozone, mature trees, sugar maple (*Acer saccharum*), paper birch (*Betula papyrifera*), trembling aspen (*Populus tremuloides*), European beech (*Fagus sylvatica*), Norway spruce (*Picea abies*), European white birch (*Betula pendula*).

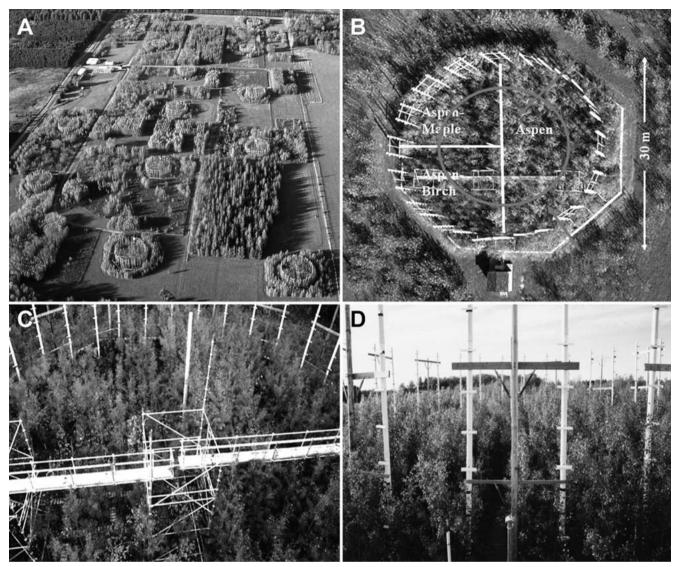
#### Introduction

Tropospheric ozone ( $O_3$ ), a secondary pollutant generated downwind of major metropolitan areas from nitrogen oxides and volatile organic compounds reacting in the presence of sunlight, is an important stressor of over 30% of the world's forests (Fowler et al., 1999; IPCC, 2001). Given the world's continued dependence on fossil fuels and the increasing world-wide distribution of  $O_3$  precursors, it is likely that the toxic impacts of  $O_3$  will continue to threaten the world's forests well into this current century (Percy et al., 2003; Ashmore, 2005; Felzer et al., 2005; Karnosky et al., 2006).

Our understanding of the influences of O<sub>3</sub> on forest tree growth and metabolism is based largely on seedling research (Hanson et al., 1994; Samuelson and Kelly, 1996; Matyssek and Innes, 1999; Kolb and Matyssek, 2001). Furthermore, most data on tree response to O<sub>3</sub> are from controlled exposures of young trees, often constrained in pots in either indoor or outdoor chambers that do not experience the actual rigors of natural site conditions, including competition (Kolb and Matyssek, 2001). Thus, it is difficult to extrapolate the results of such previous growth studies to the growth trends for trees over their lifetimes or for forest stands over their rotation, given the short time frames of observations and the limited representativeness of studied ontogenetic stages and growth conditions. Conclusions from such experimental setups about the performance of adult, large trees under forest conditions or changing environmental conditions are uncertain at best (Norby et al., 1999; Hendrey et al., 1999; Karnosky et al., 2001; Schaub et al., 2005). In addition, the appropriateness of using seedlings as surrogates for mature trees is questionable, as these ontogenetic stages substantially differ in morphological and physiological characteristics, which, in turn, strongly influences plant response to O<sub>3</sub> (Samuelson and Edwards, 1993; Hanson et al., 1994; Grulke and Miller, 1994; Samuelson and Kelly, 1996; Wieser et al., 2002; Oksanen, 2003 a, b).

The differences between  $O_3$  sensitivity of seedlings and mature trees are not consistent across all species. In giant sequoia,  $O_3$  sensitivity decreases with tree age as stomatal conductance decreases in the older trees (Grulke and Miller, 1994). Greater sensitivity of younger rather than older black cherry trees has also been reported (Fredericksen et al., 1995, 1996). Similarily, Wieser et al. (2002) reported greater  $O_3$  sensitivity in young Norway spruce trees and they associated this with changes in needle morphology, lowered cumulative  $O_3$  uptake, and increased detoxification capacity of older spruce trees. However, in another northern conifer species, Scots pine, the  $O_3$  responses increased with increasing exposure time and tree age (Utriainen and Holopainen, 2001 b). In contrast, red oak seedlings have lower stomatal conductance than mature trees and older trees have greater  $O_3$  uptake and more injury to the pho-

Plant Biol. 9 (2007): 181 – 190 © Georg Thieme Verlag KG Stuttgart · New York DOI 10.1055/s-2006-955915 ISSN 1435-8603



**Fig. 1 (A)** Overview of the 12 rings of the Aspen FACE project in northern Wisconsin. **(B)** Distribution of the aspen, aspen-birch, and aspen-maple communities in each Aspen FACE ring. Each ring is surrounded by a buffer of hybrid poplar trees. **(C)** Canopy access walkways

in each ring allow for canopy sampling in the aspen and aspen-birch communities. (**D**) Slots and baffles along the vertical vent pipes allow for gas dispensing to the outside of each ring. Wind then blows the gases into the rings. (Modified from Karnosky et al., 2005.)

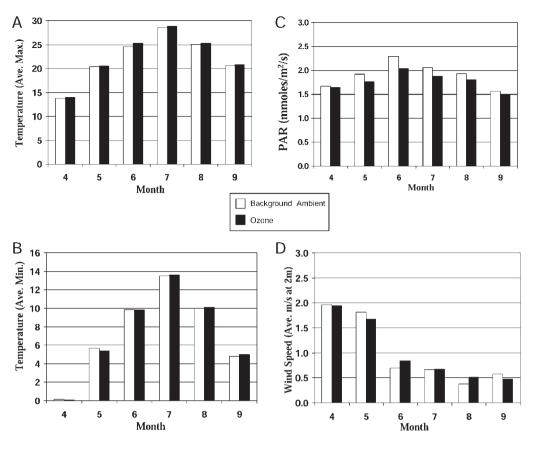
tosynthetic system than seedlings (Samuelson and Edwards, 1993; Hanson et al., 1994; Samuelson and Kelly, 1996). Similarly, Oksanen (2003 a, b) found increasing  $O_3$  sensitivity of selected birch clones over her six-year study, suggesting that  $O_3$  sensitivity in European white birch increases with increasing exposure time, tree size, and tree age. Identical  $O_3$  sensitivities were seen for young and old European beech trees, once cumulative  $O_3$  flux was determined (Baumgarten et al., 2000).

Thus, literature on the influence of age on the response of trees to  $O_3$  is quite variable. To be able to predict long-term forest tree growth under rising  $O_3$  concentrations worldwide, a greater understanding of  $O_3$  sensitivity versus tree age is needed (Wieser et al., 2002). The main reason that age effects on tree responses to  $O_3$  remains poorly understood is the difficulty of experimentally exposing large trees to  $O_3$  (Samuelson and Edwards, 1993; Grulke and Miller, 1994; Samuelson and Kelly,

2001; Kolb and Matyssek, 2001; Wieser et al., 2002). In this paper, we describe three free-air  $O_3$  fumigation systems that offer opportunities to examine the impacts of  $O_3$  on trees of differing ages, from young seedlings through to mature trees, while reliably delivering target  $O_3$  concentrations throughout the entire canopy in the absence of major disturbance to the ambient environmental conditions.

# **Aspen FACE**

The Aspen FACE experiment consists of a full factorial design with twelve 30-m diameter treatment rings: 3 control rings, 3 rings with elevated  $O_3$ , 3 rings with elevated  $O_2$ , and 3 rings with elevated  $O_3$  + elevated  $O_2$  (Fig. 1); where 100 m is the minimum distance between any two FACE rings (Dickson et al., 2000). The rings were planted in late 1997 and treatments ran from budbreak to the end of each growing season from



**Fig. 2** The Aspen FACE experimental rings have had minimal impact on micrometeorology, as shown in these comparisons for background ambient conditions and an O<sub>3</sub> ring for maximum (**A**) and minimum air temperature (**B**), PAR (**C**), and wind speed (**D**). All values were taken in the mid-canopy height of the aspen section in 1999 and are monthly averages.

1998 – 2006. The eastern half of each ring was randomly planted in two-tree plots at 1 m × 1 m spacing with 5 trembling aspen (*Populus tremuloides* Michx.) clones differing in  $O_3$  tolerance (8L, 216, and 271 = relatively tolerant; 42E and 259 = relatively sensitive). The northwestern quadrant of each ring was planted at the same spacing with alternating aspen clone 216 and sugar maple (*Acer saccharum* Marsh.) seedlings, and the southwestern quadrant of each ring was planted as above with aspen clone 216 and paper birch (*Betula papyrifera* Michx.) seedlings.

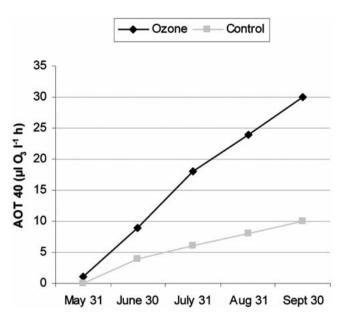
Carbon dioxide and O3 were delivered during the daylight hours using a computer-controlled system modified from Hendrey et al. (1999), with the target  $CO_2$  being 560  $\mu$ l  $CO_2$   $l^{-1}$ , about 200 µl CO<sub>2</sub> l<sup>-1</sup> above the daylight ambient CO<sub>2</sub> concentration. Ozone was applied at a target of 1.5 x ambient. Ozone was not delivered during days when the maximum temperatures were projected to be less than 15 °C or when leaf surfaces were wet from fog, dew, or rain events, or when wind speeds were < 0.4 m/s or > 4.0 m/s. Thus, O<sub>3</sub> was fumigated on only 48.7 – 51.6% of the potential growing season days of the study (Percy et al., 2006). Additional details of the experimental design and pollutant generation and monitoring can be found in Karnosky et al. (2003). Treatment summaries for CO<sub>2</sub> and O<sub>3</sub> were published in Karnosky et al. (2003, 2005). In addition, investigators monitored a number of micrometeorological parameters at the site, including wind speed, wind direction, photosynthetically active radiation (PAR), net radiation, relative humidity, rainfall, air temperature at five heights to 20.0 m, soil temperature at the soil surface and at five depths to 2.0 m and soil moisture. Relevant websites for the Aspen FACE project include (1) the general site for the Aspen FACE project (http://aspenface.mtu.edu), (2) the micrometeorology data collected at the Aspen FACE site (http://www.ncrs.fs.fed. us/4401/focus/face/meteorology/), (3) the treatment gas concentrations are shown on the BNL web site (http://www.face.bnl.gov/FACE\_Site\_Data\_Archive/FACESites/FACTSII.htm) and the CDIAC data depository website (http://cdiac.ornl.gov/programs/FACE/facts-Ildata/factsIldata.html).

## Micrometeorology and ozone characterization

The Aspen FACE experiment was originally established to examine the interacting effects of elevated  $\mathrm{CO}_2$  and  $\mathrm{O}_3$  on the structure and function of northern temperate forest ecosystems. In 2001, the experiment was designated part of a distributed user facility by the U.S. Department of Energy. Key research results have been summarized in Karnosky et al. (2003, 2005).

Aspen FACE ambient air micrometeorological conditions and conditions within the treatment rings were not significantly different from one another (Fig. 2), suggesting minimal or no effect of the FACE rings on the microclimate at the experiment's start. Treatment effects on tree growth, leaf production and duration, and other physiological parameters have since altered micrometeorological conditions but this has been due to the atmospheric gases and not due to the apparatus.

A representative seasonal cumulative  $O_3$  exposure for Aspen FACE is shown in Fig. **3.** Typical accumulated  $O_3$  exposure over a threshold of 40 nl  $O_3$  l<sup>-1</sup> (AOT40s) for ambient conditions at



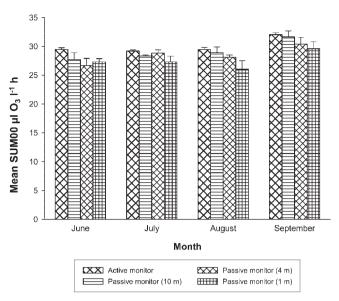
**Fig. 3** The ability to deliver a reliable  $O_3$  treatment at Aspen FACE is shown by the 2005 growing season  $O_3$  dose accumulation as depicted by AOT. Here, data from Ring 1.3 is compared to our control monitor.

Rhinelander, WI have been in the range of 8 to  $12 \,\mu l$  O<sub>3</sub> l<sup>-1</sup> h. Treatment AOT40s have ranged between 25 and  $30 \,\mu l$  O<sub>3</sub> l<sup>-1</sup> h. Mean growing season daylight hour concentrations for ambient and +O<sub>3</sub> rings have averaged between 34.6 to 36.9 nl O<sub>3</sub> l<sup>-1</sup> and 48.9 to 54.5 nl O<sub>3</sub> l<sup>-1</sup>, respectively, over 1998 – 2005.

Passive sampling using Can Oxy Plate<sup>™</sup> passive monitors (Cox and Malcolm, 1999) were used to characterize vertical and horizontal O<sub>3</sub> distribution in O<sub>3</sub> treatment rings (Fig. 4). Passive samplers co-located with the active O<sub>3</sub> monitor inlet at the top of the canopy in the centre of each ring were always in close agreement (p = 0.127). There were no significant (p < 0.05) differences between passive samplers situated 1 m and 4 m above ground on individual trees (n=9) growing within the core area where all biological data are collected by Aspen FACE investigators. In July 2005 (maxium LAI), O<sub>3</sub> exposure ranged from 23.7 to 29.7  $\mu$ l O<sub>3</sub> l<sup>-1</sup> h (4 m) and from 20.4  $\mu$ l  $O_3 l^{-1} h$  to 26.3  $\mu$ l  $O_3 l^{-1} h$  (1 m) in ring 2.3. Individual Can Oxy Plate<sup>™</sup> passive monitor July 2005 monthly accumulated hourly exposures over 0 nl l<sup>-1</sup> (SUM00) O<sub>3</sub> values collected at 1 m and 4 m heights have been mapped (Fig. 5) using ESRI's Arc Map version 9.1. Data were interpolated using a tension spline with the weight of the tension being 0.1.

## The Kranzberg Ozone Fumigation Experiment (KROFEX)

KROFEX was installed during 1999/2000 at the Kranzberger Forst research site, about 35 km northeast of Munich (48°25′N, 11°39′E, 490 m a.s.l.) (Werner and Fabian, 2002; Nunn et al., 2002). Scaffolding placed into the mixed stand allowed access to the shade and sun crowns of about 30 trees of European beech (*Fagus sylvatica* Ehrh.) and Norway spruce (*Picea abies* [L.] Karst.). In 1994, beech trees were 51 years old and 24 m high, and spruce trees 44 years old and up to 27 m high (detailed site and stand descriptions in Pretzsch et al., 1998). The scaffolding consisted of three 30-m towers connected through platforms, at 17, 19, 21, and 23 m above ground



**Fig. 4** 2005 Ozone exposure at ring centre averaged across three FACE rings. Data are shown as mean  $\pm$  1 SE. SUM00 cumulative values were measured: 1) using an active ozone monitor with inlet tube colocated above (10 m) the tree canopy with a Can Oxy Plate<sup>™</sup> passive monitor; and 2) within (at 4 m) the canopy and below (at 1 m) the canopy using Can Oxy Plate<sup>™</sup> passive (24 h) ozone monitors. There was no significant difference (paired *t*-test; p = 0.127) in SUM00 between the co-located active and passive monitors. There was (p = 0.001) a significant difference in SUM00 due to month. Ozone SUM00 was higher in September due to ozone-induced accelerated senescence of aspen leaves.

and a separate 32 m high tower which altogether were positioned approximately as a trapezoid. Since 2001, a canopy research crane with 50-m jib permitted access to the tree canopies of the whole monitoring area of 50 m × 100 m (Matyssek and Häberle, 2002; Häberle et al., 2003). KROFEX was initiated to serve three major interdisciplinary research projects, namely CASIROZ (see www.casiroz.de), the outcome of which is being summarized in this special issue of "Plant Biology" (Matyssek et al., 2007); a preceding study on "Risk assessment of chronically enhanced O<sub>3</sub> exposure by means of free-air fumigation in a mixed spruce/beech stand" (Nunn et al., 2005 a - c, 2006); and the integrated research centre of "SFB 607: Growth and parasite defence - competition for resources in economic plants from agronomy and forestry" (Matyssek et al., 2002, 2005; www.sfb607.de). The latter project aimed at unravelling competitive mechanisms of resource allocation within and between plants, including the adult forest trees of Kranzberger Forst, by making use of O<sub>3</sub> as well as naturally occurring drought or light gradients as stressors or disturbants of the regulatory control of resource allocation (e.g., Reiter et al., 2005; Löw et al., 2006).

The "Free-Air Canopy  $O_3$  Exposure System" (FACOS) of KROFEX enabled a group of 10 neighbouring trees, five beech and five spruce individuals, to be experimentally treated with an enhanced, chronic  $O_3$  regime which was superimposed on the ambient  $O_3$  levels  $(1 \times O_3$  regime) of the forest site. The enhancement factor was 2  $(2 \times \text{ambient } O_3 \text{ regime} = 2 \times O_3)$ , as based on the online levels of the  $1 \times O_3$  regime which were tracked during the diurnal courses throughout the growing season (preventing levels > 150 nl  $O_3$  l<sup>-1</sup> from  $2 \times O_3$  to exclude

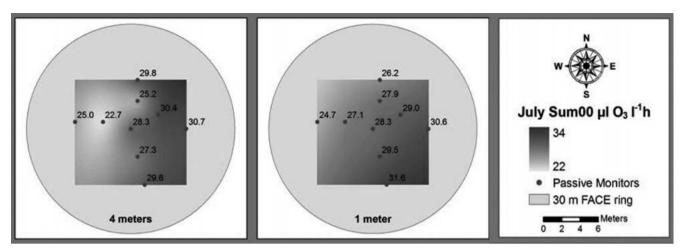


Fig. 5 Horizontal and vertical O<sub>3</sub> exposure profile for the core study area of Aspen FACE ring 2,3 in July 2005. Data are splined as SUM00 µl O<sub>3</sub> l<sup>-1</sup> h as measured using the Can Oxy Plate<sup>™</sup> passive monitors. Mea-

surements at 4 m, 1 m above ground at the same locations are shown separately and in 3-D together. The grey area outside the O<sub>3</sub> profile is the outline of the 30-m diameter FACE rings.

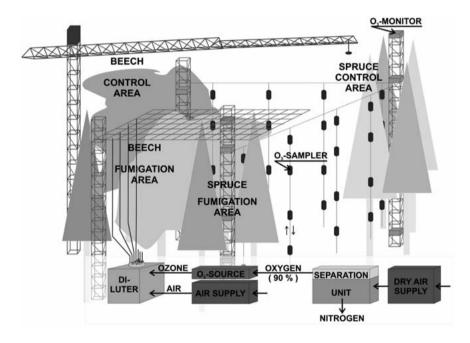
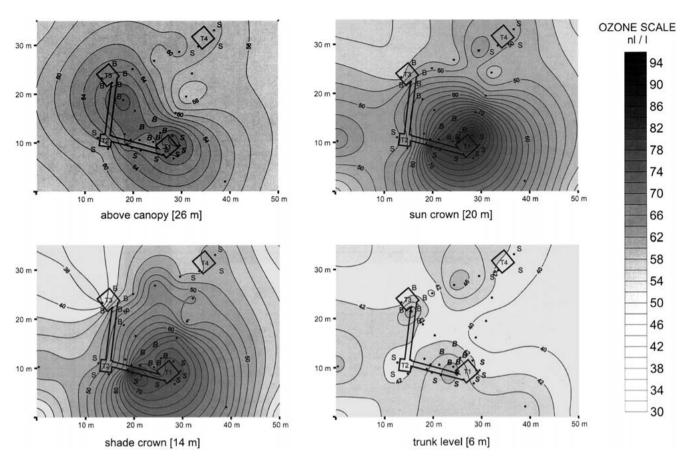


Fig. 6 The experimental setup of the KRO-FEX experiment, located near Munich, Germany. Ozone is produced by a commercial ozone generator fed with 90% oxygen, which is separated on site from dry purified air. Diluted ozone is pumped to the fumigated canopy volume (approx. 2000 m3) by means of 117 PTFE tubes and distributed via approx. 6000 calibrated outlets. Ozone monitoring is done continuously by 8 online monitors and 100 passive samplers replaced at weekly intervals.

acute O<sub>3</sub> effects; cf. Reich, 1987). Another group of five beech and spruce trees each under  $1 \times O_3$ , adjacent to the  $O_3$ -fumigated canopy zone, served as "control". Comparison between the two O<sub>3</sub> regimes allowed a quantitative examination of a broad spectrum of molecular, biochemical, and ecophysiological tree responses under the given site conditions for incipient O<sub>3</sub> injury (see Nunn et al., 2002 and Matyssek et al., 2007 for further details on the rationale of the CASIROZ project).

The KROFEX delivery system is depicted in Fig. 6. Ozone was produced by means of a commercial O<sub>3</sub> generator, the output of which was computer-controlled between 0 and 70 g/h. In order to prevent oxides of nitrogen from being formed, the O<sub>3</sub> generator was supplied with 90% oxygen rather than air. Based on the pressure swing adsorption (PSA) technique, as mediated through a molecular sieve, oxygen was purified and enriched to 90% from ambient air, after having been passed over a dryer and subsequent VOC filter. The O<sub>3</sub> generator output was fed into a 1000-l mixing tank, with a constant flow of 1500 l/min of ambient air which was added by means of a blower maintaining a tank pressure of 1.2 bar.

In May 2000, fumigation was started with an O<sub>3</sub> distribution system of 100 PTFE tubes (enlarged to 117 tubes in 2002) fitted to the mixing tank with a manifold to conduct the O<sub>3</sub>/air mixture directly into the canopy of the study trees. The tubes were fixed in a horizontally mounted grid above the canopy, hanging downwards vertically, at distances of about 100 cm relative to each other. Every tube was equipped with 45 outlets which were each calibrated to the same rate of air release (being corrected for the pressure gradient within the tubes) at distances of 33 cm relative to each other. Outlets were PTFE capillaries of



**Fig. 7** Contour plots computed by a linear kriging algorithm from passive sampler results in four ozone monitoring levels (6, 14, 20, and 26 m above ground). Sample time was the first week in August 2004. Scaffolding and towers are shown in top view. Ozone is applied around tower T1 and half way between T1 and T2. Passive sampler sites are symbolized by filled circles. Stem positions of fumigated beech (B) and spruce (S) are given in bold italic letters, positions of control trees

in regular style. Please note: Due to ozone transport, mixing, and decay the ozone plume is fading out within a few meters, as shown in the contour plots "trunk level" and "above canopy". Much better than a 2-D linear kriging algorithm would be a 3-D non-linear approach including canopy structure and ozone reduction effects. This is the subject of future developments.

0.5 mm inner diameter fitted to the PTFE tubes such that the outflow was oriented downwards. The length of the capillaries decreased along the tubes from bottom to top to compensate for the decline in pressure.

Given this setup, a fumigated canopy volume of about  $10 \times 13 \times 15 \text{ m}^3$  was accomplished. KROFEX was designed to maintain about  $2 \times$  ambient  $O_3$  levels across the crowns of the 10 neighbouring trees in this treatment (however, preventing increase above 150 nl  $O_3$  l<sup>-1</sup>; see above). The system has operated day and night from late April/early May through the first week of November in each year since 2000.

Four continuously-measuring online  $O_3$  monitors were installed at four levels within the fumigated volume, at 6 m (below the fumigated canopies), 14 m (shade crown), 20 m (sun crown), and 26 m (above the canopy), while an additional four monitors were operated within the control plot of the site with the other 10 study trees under unchanged ambient air. For recording the spatial  $O_3$  distribution of the experimentally enhanced  $O_3$  regime and of the control, in addition, an array of 100 (in campaigns up to 200) passive samplers (Werner, 1992; Heerdt, 2006) were deployed at four levels above ground, pro-

viding cumulative  $O_3$  exposure as integrated over weekly sampling intervals. Fig. **7** exemplifies passive sampler results within cross-sections of the four  $O_3$  monitoring levels. Data were standardized per unit of time and given as "nl  $O_3$  l<sup>-1</sup>" rather than "nl  $O_3$  l<sup>-1</sup> h", as the calibration of as much as 15 000 passive samplers (exposure time of 168 h ± 1 h each) against eight online  $O_3$  monitors (being deployed in the restricted volume of the 2 ×  $O_3$  regime and in the surrounding 1 ×  $O_3$  regime) yielded a coefficient of determination,  $R^2 > 0.9$ .

An important issue related to any fumigation system was the formation of "hot spots" due to the fact that  $O_3$  concentrations at the gas outlets needed to be higher than the  $O_3$  target levels to be achieved. Measurements carried out near or between the outlets of adjacent PTFE tubes showed horizontal  $O_3$  gradients to stay confined within a maximum distance of about 5 cm around the outlets. Thus, it can be concluded that KROFEX achieved a fairly homogeneous  $O_3$  distribution, with hot spots, if occurring at all, being restricted to rather short radii around the outlets.

In parallel to the online monitoring of  $O_3$  data at 10-s intervals, a number of micrometeorological parameters were recorded at the site, namely two vertical profiles (five heights) of temperature and air humidity, including wind velocity and direction above the canopy. Particular attention was directed to radiation measurement. In addition to the assessment of global radiation above the canopy, a novel multi-channel system for spectral analysis of solar radiation gave highly resolved spectral information within and above the stand at up to 264 recording points (Reitmayer et al., 2002; Leuchner et al., 2005). Cooperating groups collected further data on rainfall as well as soil and vegetation parameters. Findings on air temperature, precipitation, and continuous O<sub>3</sub> measurements were reported elsewhere (Bahnweg et al., 2005), and cumulative O<sub>3</sub> data are given in (Matyssek et al., 2007).

## Open Field O<sub>3</sub> Exposure System in Kuopio, Finland

The Kuopio open field exposure system consisted of eight circular 10-m diameter plots with four replicates of both ambient air and elevated O<sub>3</sub> (Fig. 8). The free-air system was established in 1989 and has been operating in its current form with eight plots since 2002. The plots were established on a former field and the minimum distance between any two plots is 18 m (mean distance 28 m). The O<sub>3</sub> dispensing system consisted of both horizontal and vertical pipe systems, with total height of 2.2 m. Ozone was generated from pure oxygen with a generator and delivered via a computer-controlled system during daylight hours (between 8 am to 10 pm). Wind direction and wind speed were measured in each  $O_3$  plot, and the  $O_3$  delivery was focused in the upwind direction of each pipe system. The target concentration of the elevated O<sub>3</sub> was 2 × ambient and the target concentration of each exposure plot was individually measured and controlled. Ozone delivery was stopped during calm weather (wind speed < 0.1 m/s), during heavy rain, and when the ambient  $O_3$  concentration was less than  $10\,\mathrm{nl}$  $O_3$  l<sup>-1</sup>. The total  $O_3$  exposure has been  $1.3 - 1.7 \times$  ambient due to these cut-off periods. The O<sub>3</sub> concentrations, wind speed, and wind direction were measured from each plot, and PAR, relative humidity, precipitation, and air temperature were measured at the centre of the experimental field. Typical accumulation of AOT40 exposure in control and elevated O<sub>3</sub> plots of the Kuopio exposure field is presented in Fig. 9A. The hourly mean O<sub>3</sub> concentration of the ambient air rarely exceeding 40 nl O<sub>3</sub> l<sup>-1</sup> resulting in very low AOT40 exposures (ranging from 0.3 to  $1.0 \,\mu l$  O<sub>3</sub>  $l^{-1}$  h per growing season) of the control plants. AOT40 doses in the elevated O<sub>3</sub> plots ranged from 7.0 to 22.0  $\mu$ l O<sub>3</sub> l<sup>-1</sup> h per growing season (Oksanen, 2003 b). Measurements on leaf-level PAR values, air temperature within the canopy, and volumetric soil moisture content indicated there were no significant differences between the ambient air and the elevated O<sub>3</sub> plots within the experimental field (Fig. 9; Oksanen, 2003 b).

Potted seedlings were used in most experiments as experimental material. Soil-planted young birch (Oksanen, 2003 a, b) and aspen saplings up to several years old were exposed. The experimental plants were placed in the central parts of the plots, with the diameter of the effective area in each plot being 7 – 8 m, to avoid the higher O<sub>3</sub> concentrations occurring near the pipe system (i.e., hot spots). The potted plants were rotated at each plot during the growth periods. The O<sub>3</sub> exposure operated from the beginning of the growth period (mid-

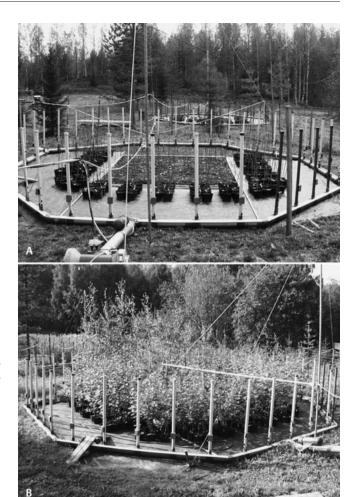


Fig. 8 An ozone fumigation plot within the Kuopio experimental site in May (A) and July (B) 2002.

late May) to the end of the growth period (from the end of September to early October). In the longest experiment at the Kuopio site, two European white birch (*Betula pendula* Roth.) genotypes showing different O<sub>3</sub> sensitivities were exposed to  $1.4 - 1.7 \times$  ambient O<sub>3</sub> over seven growing seasons (Oksanen et al., 2007). Data from this long-term birch experiment were used in comparing the efficiency of flux-based AF<sub>st</sub>Y (Accumulated Flux through stomata above a threshold of X) and AOTX-(Accumulated Over a Threshold of X nl O<sub>3</sub> l<sup>-1</sup>) based indices at several experimental sites across Europe (Karlsson et al., 2004; Uddling et al., 2004), aiming to improve O<sub>3</sub> risk assessment. Furthermore, birch data from Kuopio were involved in comparison of different stomatal conductance algorithms for O<sub>3</sub> flux modelling (Büker et al., 2006). In the late 1990s, several experiments with conifer seedlings, concentrating on the interaction of nutrient availability and O<sub>3</sub> exposure, were performed on the Kuopio exposure site (Kainulainen et al., 2000; Utriainen and Holopainen, 2001 a, b). Recently, several interaction studies were performed within the Kuopio exposure site, including  $O_3 \times$  frost experiments with birch and  $O_3 \times$  soil nitrogen studies with aspen.

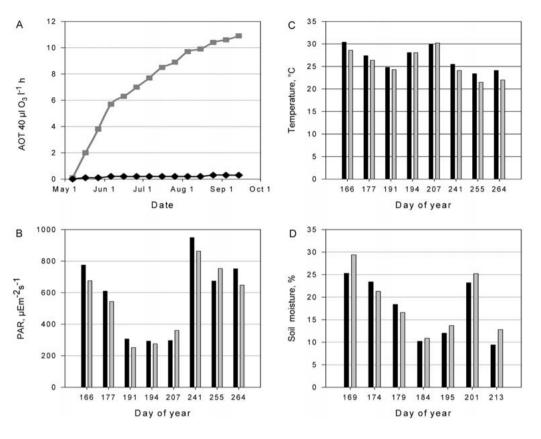


Fig. 9 Typical O<sub>3</sub> dose accumulation pattern given as AOT40 value for elevated ozone fields (■) and ambient ozone fields (■) (A), mean daylight leaf-level PAR values (B), mean daylight air temperature at canopy level (C), and mean volumetric soil moisture content (% of dry weight) (D) measurements in Kuopio exposure site in the growing season of 2001.

### **Conclusions**

Here, we have presented three free-air systems differing in design but each with a capability of efficiently delivering desired  $\rm O_3$  concentrations to trees ranging from small stature to mature trees, while having minimal impact on the micrometeorological conditions of the plots. These systems have already been used extensively for studies on forest productivity and ecosystem structure and function. They provide the scientific community with a viable option to study  $\rm O_3$  impacts on older trees, which, until now, have proven difficult to do. Together, the papers from these three free-air systems are helping to develop a clearer picture for scaling up  $\rm O_3$  effects to older trees.

### **Acknowledgements**

The Aspen FACE is principally supported by the U.S. Department of Energy's Office of Biological and Environmental Research, Grant No. DE-FG02-95ER62125. Research at the site is also supported in part by the USDA Forest Service Northern Global Change Program, Michigan Technological University, the Praxair Foundation, the McIntire-Stennis Program, Canadian Federal Panel on Energy Research and Development, and Natural Resources Canada-Canadian Forest Service. The CASI-ROZ project ("The *ca*rbon *sink* strength of beech in a changing environment: experimental risk assessment by mitigation of chronic *ozone* impact") was supported by the European Commission – Research Directorate-General, Environment Programme, "Natural Resources Management and Services" (EVK2-2002-00165, Ecosystem Vulnerability). Research at the

CASIROZ study site "Kranzberg Forest" was supported, in addition, by Deutsche Forschungsgemeinschaft (DFG) through SFB 607 "Growth and Parasite Defence – Competition for Resources in Economic Plants from Agronomy and Forestry". The experiment in the Kuopio site was funded by the Academy of Finland (projects 63947 and 78743). We thank Kathy Beaton (CFS-Atlantic) for producing Fig. **5**.

#### References

Ashmore, M. R. (2005) Assessing the future global impacts of ozone on vegetation. Plant, Cell and Environment 28, 1 – 16.

Bahnweg, G., Heller, W., Stich, S., Knappe, C., Betz, G., Heerdt, C., Kehr, R. D., Ernst, D., Langebartels, C., Nunn, A. J., Rothenburger, J., Schubert, R., Wallis, P., Muller-Starck, G., Werner, H., Matyssek, R., and Sandermann, H. (2005) Beech leaf colonization by the endophyte *Apiognomonia errabunda* dramatically depends on light exposure and climatic conditions. Plant Biology 7, 659 – 669.

Baumgarten, M., Werner, H., Häberle, K.-H., Emberson, L. D., Fabian, P., and Matyssek, R. (2000) Seasonal ozone response of mature beech trees (*Fagus sylvatica*) at high elevation of the Bavarian Forest (Germany) in comparison with young beech in field and phytotron. Environmental Pollution 109, 431 – 442.

Büker, P., Emberson, L. D., Ashmore, M. R., Gambridge, H. M., Jacobs, C., Massman, W. J., Müller, J., Nikolov, N., Novak, K., Kristopher, N., Oksanen, E., Schaub, M., and De la Torre, D. (2006) Comparison of different stomatal conductance algorithms for ozone flux modelling, Environmental Pollution, in press.

Cox, R. M. and Malcolm, J. W. (1999) Passive ozone monitoring for forest health assessment. Water, Air, and Soil Pollution 116, 339 – 344.

- Dickson, R. E., Lewin, K. F., Isebrands, J. G., Coleman, M. D., Heilman, W. E., Riemenschneider, D. E., Sober, J., Host, G. E., Zak, D. R., Hendrey, G. R., Pregitzer, K. S., and Karnosky, D. F. (2000) Forest atmosphere carbon transfer storage-II (FACTS II) - the aspen free-air CO<sub>2</sub> and O<sub>3</sub> enrichment (FACE) project in an overview. USDA Forest Service North Central Research Station, General Tech. Rep. NC-214,
- Felzer, B., Reilly, J., Melillo, J., Kicklighter, D., Sarofim, M., Wang, C., Prinn, R., and Zhuang, Q. (2005) Future effects of ozone on carbon sequestration and climate change policy using a global biogeochemical model. Climatic Change 73, 345 – 373.
- Fowler, D., Cape, J. N., Coyle, M., Flechard, C., Kuylenstierna, J., Hicks, K., Derwent, D., Johnson, C., and Stevenson, D. (1999) The global exposure of forests to air pollutants. Water, Air, and Soil Pollution
- Fredericksen, T. S., Joyce, B. J., Skelly, J. M., Steiner, K. C., Kolb, T. E., Kouterick, K. B., Savage, J. E., and Snyder, K. R. (1995) Physiology, morphology, and ozone uptake of leaves of black cherry seedlings, saplings and canopy trees. Environmental Pollution 89, 273 – 283.
- Fredericksen, T. S., Skelly, J. M., Steiner, K. C., Kolb, T. E., and Kouterick, K. B. (1996) Size mediated foliar response to ozone in black cherry trees. Environmental Pollution 91, 53 - 63.
- Grulke, N. E. and Miller, P. R. (1994) Changes in gas exchange characteristics during the life span of giant sequoia: implications for response to current and future concentrations of atmospheric ozone. Tree Physiology 14, 659 – 668.
- Häberle, K.-H., Reiter, I. M., Nunn, A. J., Gruppe, A., Simon, U., Gossner, M., Werner, H., Leuchner, M., Heerdt, C., Fabian, P., and Matyssek, R. (2003) KROCO, Freising, Germany: canopy research in a temperate mixed forest of Southern Germany. In Studying Forest Canopies from Above: The International Canopy Crane Network (Basset, Y., Horlyck, V., and Wright, S. J., eds.), Smithsonian Tropical Research Institute and UNEP, pp. 71 – 78.
- Hanson, P. J., Samuelson, L. J., Wullschleger, S. D., Tabberer, T. A., and Edwards, G. S. (1994) Seasonal patterns of light-saturated photosynthesis and leaf conductance for mature and seedling Quercus rubra L. foliage: differential sensitivity to ozone exposure. Tree Physiology 14, 1351 - 1366.
- Heerdt, C. (2006) Methoden zum Ozonmonitoring in einem Buchen-, Fichten-Mischbestand unter Free-Air-Ozonbegasung. PhD Thesis, Technische Universität München, in prep.
- Hendrey, G. R., Ellsworth, D. S., Lewin, K. F., and Nagy, J. (1999) A freeair enrichment system for exposing tall forest vegetation to elevated atmospheric CO<sub>2</sub>. Global Change Biology 5, 293 – 309.
- IPCC (2001) A report of working group I of the Intergovernmental Panel on Climate Change. http://www.ipcc.ch/.
- Kainulainen, P., Utriainen, J., Holopainen, J. K., Oksanen, J., and Holopainen, T. (2000) Influence of elevated ozone and limited nitrogen availability on conifer seedlings in an open-air fumigation system: effects on growth, nutrient content, mycorrhiza, needle ultrastructure, starch and secondary compounds. Global Change Biology 6, 345 - 355.
- Karlsson, P. E., Uddling, J., Braun, S., Broadmeadow, M., Elvira, S., Gimeno, B. S., Le Thiec, D., Oksanen, E., Vandermeiren, K., Wilkinson, M., and Emberson, L. (2004) New critical levels for ozone effects on young trees based on AOT40 and simulated cumulative leaf uptake of ozone. Atmospheric Environment 38, 2283 - 2294.
- Karnosky, D. F., Gielen, B., Ceulemans, R., Schlesinger, W. H., Norby, R. J., Oksanen, E., Matyssek, R., and Hendrey, G. R. (2001) FACE systems for studying the impacts of greenhouse gases on forest ecosystems. In Impacts of Carbon Dioxide and Other Greenhouse Gases on Forest Ecosystems (Karnosky, D. F., Ceulemans, R., Scarascia-Mugnozza, G. E., and Innes, J. L., eds.), CAB International 10, pp. 297 - 324.
- Karnosky, D. F., Zak, D. R., Pregitzer, K. S., Awmack, C. S., Bockheim, J. G., Dickson, R. E., Hendrey, G. R., Host, G. E., King, J. S., Kopper, B. J.,

- Kruger, E. L., Kubiske, M. E., Lindroth, R. L., Mattson, W. J., McDonald, E. P., Noormets, A., Oksanen, E., Parsons, W. F. J., Percy, K. E., Podila, G. K., Riemenschneider, D. E., Sharma, P., Thakur, R. C., Sober, A., Sober, J., Jones, W. S., Anttonen, S., Vapaavuori, E., Mankovska, B., Heilman, W. E., and Isebrands, J. G. (2003) Tropospheric O<sub>3</sub> moderates responses of temperate hardwood forests to elevated CO<sub>2</sub>: a synthesis of molecular to ecosystem results from the Aspen FACE project. Functional Ecology 17, 289 - 304.
- Karnosky, D. F., Pregitzer, K. S., Zak, D. R., Kubiske, M. E., Hendrey, G. R., Weinstein, D., Nosal, M., and Percy, K. E. (2005) Scaling ozone responses of forest trees to the ecosystem level in a changing climate. Plant, Cell and Environment 28, 965 - 981.
- Karnosky, D. F., Skelly, J. M., Percy, K. E., and Chappelka, A. H. (2006) Perspectives regarding 50 years of research on effects of tropospheric ozone air pollution on U.S. forests. Environmental Pollution, in press.
- Kolb, T. E. and Matyssek, R. (2001) Limitations and perspectives about scaling ozone impacts in trees. Environmental Pollution
- Leuchner, M., Fabian, P., and Werner, H. (2005) Spectral multichannel monitoring of radiation within a mature mixed forest. Plant Biology 7, 619 - 627.
- Löw, M., Herbinger, K., Nunn, A. J., Häberle, K.-H., Leuchner, M., Heerdt, C., Werner, H., Wipfler, P., Pretzsch, H., Tausz, M., and Matyssek, R. (2006). Extraordinary drought of 2003 overrules ozone impact on adult beech trees (Fagus sylvatica). Trees 20, 539 – 548.
- Matyssek, R., Schnyder, H., Elstner, E.-F., Munch, J.-C., Pretzsch, H., and Sandermann, H. (2002) Growth and parasite defence in plants: the balance between resource sequestration and retention. Plant Biology 4, 133 - 136.
- Matyssek, R. and Häberle, K.-H. (2002) Freising canopy crane, Germany. In The Global Canopy Handbook (Mitchell, A. M., Secoy, K., and Jackson, T., eds.), Oxford, UK: GCP Publisher, pp. 47 - 50.
- Matyssek, R., Agerer, R., Ernst, D., Munch, J.-C., Osswald, W., Pretzsch, H., Priesack, H., Schnyder, H., and Treutter, D. (2005) The plant's capacity in regulating resource demand. Plant Biology 7, 560 – 580.
- Matyssek, R. and Innes, J. L. (1999) Ozone a risk factor for forest trees and forests in Europe? Water, Air and Soil Pollution 116,
- Matyssek, R., Bahnweg, G., Ceulemans, R., Fabian, P., Grill, D., Hanke, D. E., Kraigher, H., Oßwald, W., Rennenberg, H., Sandermann, H., Tausz, M., and Wieser, G. (2007) Synopsis of the CASIROZ case study: carbon sink strength of Fagus sylvatica L. in a changing environment – experimental risk assessment of mitigation by chronic ozone impact. Plant Biology 9, 163 - 180.
- Norby, R. J., Wullschleger, S. D., Gunderson, C. A., Johnson, D. W., and Ceulemans, R. (1999) Tree responses to rising CO<sub>2</sub> in field experiments: implications for the future forests. Plant, Cell and Environment 22, 683 - 714.
- Nunn, A. J., Reiter, I. M., Häberle, K.-H., Werner, H., Langebartels, C., Sandermann, H., Heerdt, C., Fabian, P., and Matyssek, R. (2002) "Free-air" ozone canopy fumigation in an old-growth mixed forest: concept and observations in beech. Phyton 42, 105 – 119.
- Nunn, A. J., Reiter, I. M., Häberle, K.-H., Langebartels, C., Bahnweg, G., Pretzsch, H., Sandermann, H., and Matyssek, R. (2005a) Response pattern in adult forest trees to chronic ozone stress: identification of variations and consistencies. Environmental Pollution 136, 365 - 369
- Nunn, A. J., Kozovits, A. R., Reiter, I. M., Heerdt, C., Leuchner, M., Lütz, C., Liu, X., Winkler, J. B., Grams, T. E. E., Häberle, K.-H., Werner, H., Fabian, P., Rennenberg, G. H., and Matyssek, R. (2005b) Comparison of ozone uptake and responsiveness between a phytotron study with young and a field experiment with adult beech (Fagus sylvatica). Environmental Pollution 137, 494 – 506.

Nunn, A. J., Anegg, S., Betz, G., Simons, S., Kalisch, G., Seidlitz, H. K., Grams, T. E. E., Häberle, K.-H., Matyssek, R., Bahnweg, S., Sandermann, H., and Langebartels, C. (2005 c) Role of ethylene in the regulation of cell death and leaf loss in ozone-exposed European beech. Plant, Cell and Environment 28, 886 – 897.

- Nunn, A. J., Wieser, G., Reiter, I. M., Häberle, K.-H., Grote, R., Havranek, W. M., and Matyssek, R. (2006) Testing the "unifying theory" for O<sub>3</sub> sensitivity with mature forest tree responses (*Fagus sylvatica* and *Picea abies*). Tree Physiology 26, 1391 1403.
- Oksanen, E. (2003 a) Physiological responses of birch (*Betula pendula*) to ozone: a comparison between open-soil-grown trees exposed for six growing seasons and potted seedlings exposed for one season. Tree Physiology 23, 603 614.
- Oksanen, E. (2003b) Responses of selected birch (*Betula pendula* Roth.) clones to ozone change over time. Plant, Cell and Environment 26, 875 886.
- Oksanen, E., Kontunen-Soppela, S., Riikonen, J., Peltonen, P., Uddling, J., and Vapaavuori, E. (2007) Northern environment predisposes birches to ozone damage. Plant Biology 9, 191 196.
- Percy, K. E., Legge, A. H., and Krupa, S. V. (2003) Tropospheric ozone: a continuing threat to global forests? In Air Pollution, Global Change and Forests in the New Millennium, Vol. 3 (Karnosky, D. F., Percy, K. E., Chappelka, A. H., Simpson, C., and Pikkarainen, J., eds.), Amsterdam: Elsevier, pp. 85 118.
- Percy, K., Nosal, M., Heilman, W., Dann, T., Sober, J., Legge, A., and Karnosky, D. F. (2006) New exposure-based metric for evaluating O<sub>3</sub> risk to North American aspen forests. Environmental Pollution, in press.
- Pretzsch, H., Kahn, M., and Grote, R. (1998) Die Fichten-Buchen-Mischbestände des Sonderforschungsbereiches "Wachstum oder Parasitenabwehr?" im Kranzberger Forst. Forstwissenschaftliches Centralblatt 117, 241 – 257.
- Reich, P. B. (1987) Quantifying plant response to ozone: a unifying theory. Tree Physiology 3, 63 91.
- Reiter, I. M., Häberle, K.-H., Nunn, A. J., Heerdt, C., Reitmayer, H., Grote, R., and Matyssek, R. (2005) Competitive strategies in adult beech and spruce: space-related foliar carbon investment versus carbon gain. Oecologia 146, 337 349.
- Reitmayer, H., Werner, H., and Fabian, P. (2002) A novel system for spectral analysis of solar radiation within a mixed beech-spruce stand. Plant Biology 4, 228 233.
- Samuelson, L. J. and Edwards, G. S. (1993) A comparison of sensitivity to ozone in seedlings and trees of *Quercus rubra* L. New Phytologist 128, 373 379.
- Samuelson, L. J. and Kelly J. M. (1996) Carbon partitioning and allocation in northern red oak seedlings and mature trees in response to ozone. Tree Physiology 16, 853 858.
- Samuelson, L. and Kelly, J. M. (2001) Scaling ozone effects from seedlings to forest trees. New Phytologist 149, 21 – 41.
- Schaub, M., Skelly, J. M., Zhang J. W., Ferdinand, J. A., Savage, J. E., Stevenson, R. E., Davis, D. D., and Steiner, K. C. (2005) Physiological and foliar symptom response in the crowns of *Prunus serotina, Fraxinus americana*, and *Acer rubrum* canopy trees to ambient ozone under forest conditions. Environmental Pollution 133, 553 567.
- Uddling, J., Günthardt-Goerg, M. S., Matyssek, R., Oksanen, E., Pleijel, H., Selldén, G., and Karlsson, P. E. (2004) Biomass reductions of juvenile birch were more strongly related to stomatal uptake of ozone than to ozone indices based on external exposure. Atmospheric Environment 38, 4709 4719.
- Utriainen, J. and Holopainen, T. (2001 a) The influence of nitrogen and phosphorus availability in ozone stress of Norway spruce seedlings. Tree Physiology 21, 447 456.
- Utriainen, J. and Holopainen, T. (2001b) Nitrogen availability modifies the ozone responses of Scots pine seedlings exposed in open field system. Tree Physiology 21, 1205 1213.

- Werner, H. (1992) Das Indigopapier. Sensitives Element zum Aufbau von Passivsammlern zur Messung von Ozonimmissionen. Schriftenreihe der Forstwissenschaftlichen Fakultät der Universität München und der Bayerischen Landesanstalt für Wald und Forstwirtschaft. Forstl. Forschungsberichte München, Nr. 122.
- Werner, H. and Fabian, P. (2002) Free-air fumigation of mature trees a novel system for controlled ozone enrichment in grown-up beech and spruce canopies. Environmental Science and Pollution Research 9, 117 121.
- Wieser, G., Tegischer, K., Tausz, M., Häberle, K.-H., Grams, T. E. E., and Matyssek, R. (2002) Age effects on Norway spruce (*Picea abies*) susceptibility to ozone uptake: a novel approach relating stress avoidance to defense. Tree Physiology 22, 583 590.

#### D. F. Karnosky

School of Forest Resources and Environmental Science Michigan Technological University 1400 Townsend Drive Houghton, MI 49931 USA

E-mail: karnosky@mtu.edu

Editor: H. Rennenberg